

Table 1. Provincial Government of Albay Capacity Assessment Framework on Climate Change Adaptation

| Core Development Issues/Challenges in Climate Change Adaptation | Functional/Technical Capacities | | | | |
|---|--|--|--|---|----------------------|
| | Engage in multistakeholder dialogues | Assess situation; set a vision and define mandate | Formulate policy and strategy | Budget, manage and implement | Monitor and evaluate |
| POLICY | | | | | |
| <ul style="list-style-type: none"> Lack of clear-cut policy on ensuring sustainability of efforts on CCA Inadequate implementation of the CCA framework, plans and programs | <ul style="list-style-type: none"> LGU leadership capacity to develop partnerships and networks and strengthen existing linkages with identified stakeholders LGU capacity to effectively communicate with target audiences LGU capacity to engage stakeholders for harmonized priorities towards full adaptation and implementation of | <ul style="list-style-type: none"> LGU capacity to implement the ‘BOTTOM-UP’ approach in mainstreaming CCA LGU capacity to fully document the impacts of climate change in the locality on various sectors LGU capacity to set targets for application of CCA practices in the locality LGU capacity to access international | <ul style="list-style-type: none"> LGU capacity to introduce policy reforms to integrate MDG-CCA into local development planning, implementation, budgeting and accounting procedures LGU capacity to provide policy incentives for sectoral investments in CCA Capacity of Sanggunian members to fully understand climate change issues and initiate legislators to address such | <ul style="list-style-type: none"> LGU capacity to fully implement relevant national and local policies on environment and CCA Capacity to implement overarching policies like the Albay Declaration on CCA | |

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| | CCA | and national best practices on CCA and introduce good practices in local ordinances and initiatives | accordingly | | |
| | | <ul style="list-style-type: none"> • LGU capacity to mainstream existing national policies into local policies and programs | <ul style="list-style-type: none"> • Capacity of LGU planning Unit to formulate, develop and design local CCA strategies • LGU capacity to mainstream local CCA strategies into local development plans and strategies | | |
| INSTITUTIONAL ARRANGEMENT | | | | | |
| <ul style="list-style-type: none"> • Unclear roles of potential champions • Undefined lines of coordination | <ul style="list-style-type: none"> • LGU capacity to establish functional linkages and networking with stakeholders working on CCA in the locality to optimize available resources • LGU capacity to | <ul style="list-style-type: none"> • LGU capacity to introduce coordination mechanisms and institutionalize coordination amongst “tenured organic” CCA player • LGU capacity to sustain current CCA | <ul style="list-style-type: none"> • CIRCA capacity to effectively coordinate CCA programmes and initiatives <ul style="list-style-type: none"> ➢ At the provincial level ➢ At the municipal level ➢ At the barangay level | <ul style="list-style-type: none"> • LGU capacity to allocate internal budget for networking and coordination on CCA • CIRCA capacity to provide clear technical advice on CCA to all stakeholders at the levels | <ul style="list-style-type: none"> • Capacity of the LGU Planning Office to develop appropriate templates for monitoring and evaluating CCA practices in the locality • Capacity of the LGU planning |

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| | engage stakeholders actively in identifying roles and functions for CCA policies and strategies | momentum within Albay Declaration even with change in leadership <ul style="list-style-type: none"> • LGU capacity to identify strengths and weaknesses of various stakeholders in the locality on CCA | | <ul style="list-style-type: none"> • LGU capacity to introduce efficient and transparent procurement and financial management systems for CCA initiatives • LGU capacity to build consensus on potential conflicts arising from interpretation and implementation of CCA practices | office to regularly monitor and evaluate CCA programs, projects and activities <ul style="list-style-type: none"> • LGU capacity to provide local policy recommendations based on lessons learned from relevant CCA projects in the locality |
| RESOURCE MANAGEMENT | | | | | |
| <ul style="list-style-type: none"> • Inadequate physical, financial and human resource | <ul style="list-style-type: none"> • LGU capacity to engage and empower stakeholders to initiate, actively participate and mobilize resources on their own • LGU leadership | <ul style="list-style-type: none"> • LGU capacity to identify skills development needs of its officials and staff members on CCA • LGU capacity to identify equipment and software needs | <ul style="list-style-type: none"> • LGU capacity to introduce rewards and incentives for good performers • LGU capacity to develop and implement climate-proofing and disaster-proofing programs | <ul style="list-style-type: none"> • LGU capacity to encourage private sector investments on CCA • LGU capacity to allocate funding for CCA programs, projects and activities from | <ul style="list-style-type: none"> • LGU capacity to effectively implement a performance evaluation system within the LGU • LGU capacity to introduce |

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| | <p>capacity to regularly convene open dialogues among LGU units/staff to obtain feedback and suggestions on LGU initiatives</p> <ul style="list-style-type: none"> • LGU staff members' capacity to openly share their opinions and suggestions with the leadership | <p>for CCA</p> | <ul style="list-style-type: none"> • LGU capacity to introduce human resource development and awareness building programmes on CCA | <p>internal budget</p> <ul style="list-style-type: none"> • LGU staff capacity to prepare technical reports on relevant CCA issues • LGU staff capacity to prepare quality technical proposals and investment plans on CCA • LGU capacity to provide trainings and skills development course for CCA focal persons • LGU capacity to provide IT support for effective CCA analysis and implementation | <p>mechanism to monitor action plans and progress of CCA trainees</p> |
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| KNOWLEDGE MANAGEMENT | | | | | |
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| <ul style="list-style-type: none"> • Lack of local-specific information | <ul style="list-style-type: none"> • LGU capacity to engage stakeholders in identifying data needs and sources for CCA • LGU capacity to access relevant data and information on CCA from national agencies, international organizations, and other LGUs | | <ul style="list-style-type: none"> • LGU capacity to develop a communications strategy for CCA mainstreaming, including IEC campaign | <ul style="list-style-type: none"> • Capacity to appropriate funding, including equipment and facilities for local CCA databanking • LGU capacity to develop and maintain a reliable, user-friendly and functional local CCA information system accessible to all stakeholders | <ul style="list-style-type: none"> • LGU capacity to ensure timely uploading of new data and information into existing CCA information system in the LGU • LGU capacity to monitor and evaluate how CCA data and information are feeding into local policies and programmes |

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